11-24-06 PART B - FEE(S) TRANSMITTAL

Complete and sends his form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents NOV 2 2 2006 P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571)-273-2885 INSTRUCTIONS: The orm should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 5 should be completed where appropriate. Afternue correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block I, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 08/24/2006 009561 7590 Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage-for first-class mail-in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. POPOVICH, WILES & O'CONNELL, PA 650 THIRD AVENUE SOUTH SUITE 600 MINNEAPOLIS, MN 55402 as "Express Mail Post (Depositor's name Jodi C. Juna Office to Addressee" (Signature Mailing Label No. (Date EV 918 074 618 US ATTORNEY DOCKET NO. CONFIRMATION NO. FIRST NAMED INVENTOR APPLICATION NO. FILING DATE KEY1019US 05/14/1999 ROBERT A. MACDONALD 11/27/2006 HGUTEMA2 00000022 09312352 TITLE OF INVENTION: RETAINING WALL BLOCK 01 FC:1501 1400.00 OP 02 FC:8001 30.00 OP PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE **ISSUE FEE DUE** APPLN. TYPE **SMALL ENTITY** \$1400 11/24/2006 NO \$1400 \$0 nonprovisional CLASS-SUBCLASS **EXAMINER** ART UNIT NEUDER, WILLIAM P 3672 405-284000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list Popovich, Wiles & (1) the names of up to 3 registered patent attorneys O'Connell, P.A. ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is Tree Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Bloomington, Minnesota (U.S.A.) Keystone Retaining Wall Systems, Inc. Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🔯 Corporation or other private group entity 🚨 Government 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: A check is enclosed. Issue Fee Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account Number 10-2312 (enclose an extra copy of this fo Advance Order - # of Copies \_\_\_\_\_10 overpayment, to Deposit Account Number (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Ovember 23, 2006

33,984

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interest as shown by the records of the United States Patent and Trademark Office.

Patrick J. O'Connell



Date of Notice of Allowance: August 24, 2006

Confirmation No.: 2481 Serial Number: 09/312,352

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Appellants:  | Robert A. MacDonald et al.     | Attorney Docket: KEY1019US  |
|--|--------------------------------|-----------------------------|
| Serial No.:  | 09/312,352                     | Group Art Unit: 3672        |
| Filed:   | May 14, 1999                   | Examiner: William P. Neuder |
| For:   | RETAINING WALL BLOCK           |                             |
| TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 C.F.R. § 1.311)  |                                |                             |
| MAIL STOP ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450   |                                |                             |
| Dear Sir:  |                                |                             |
| 1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85 (1 page).  |                                |                             |
| 2. Fee (3  | 37 C.F.R. §§ 1.18(a) and (b)): |                             |
| Appli  | cation status is:              | Regular Design              |
| □ sm   | all business entity - fee      | □ \$700 □ \$400             |
| □ verified statement attached  |                                |                             |
| □ verified statement filed on  |                                |                             |
| Certificate of Express Mailing (37 C.F.R. § 1.10)  |                                |                             |
| I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Mailing Label No. EV 918074618 US in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.  Date: Name: Jodi Jung |                                |                             |

Transmittal of Payment of Issue Fee
Applicants: Robert A. MacDonald
Serial Number: 09/312,352

■ other than a small entity - fee
■ \$1400 □ \$800

3. Payment of fee:
■ Enclosed please find a check for \$1430.00 (issue fee of \$1400.00 and ten copies of patent at \$3.00 each)
■ Charge Account 16-2312 for any fee deficiency
□ Charge Account \_\_\_\_\_ the sum of \$\_\_\_\_\_\_

Respectfully submitted,

Dated: Normala 22, 2006

**Customer No. 009561** Terry L. Wiles (29,989)

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